



Dipattamenton Kontribusion yan Adu'ana

DEPARTMENT OF

REVENUE AND TAXATION

GOVERNMENT OF GUAM

Gubetnamenton Guahan

FELIX P. CAMACHO, Governor
MICHAEL W. CRUZ, M.D., Lt. Governor Tifente Gi

ARTEMIO B. ILAGAN

JOHN P. CAMACHO, Deputy
Segundo

RENEWAL OF FOREIGN EXCHANGE LICENSE
CHECKLIST

1. FOR PARTNERSHIP, CORPORATION OR ASSOCIATION-

Renewal Application for Foreign Exchange License

- Fee of \$500.00 payable to Treasurer of Guam—(payment to be presented to Business License Branch for issuance of receipt after clearing from ISBRE)
- ~~Police clearance~~ for all incorporators and/or partners
- Application and/or Renewal for registered agents and authorized individuals to act on behalf of the license
- ~~Fee of \$50.00~~ payable to Treasurer of Guam—(payment to be presented to ISBRE for issuance of receipt)
- ~~Police clearance~~ for all registered agents and authorized individuals to act on behalf of the license.
- ~~Anti-Money Laundering/BSA~~ Compliance Survey

2. FOR SOLE PROPRIETORSHIP-

- Police clearance for the owner of the business
- Police clearance for registered agents and authorized individuals to act on behalf of the business.
- Same fees apply as No. 1 both for the license renewal and the agents application and/or renewal
- ~~Anti-Money Laundering /BSA~~ Compliance Survey

Apsc/022107

DIVISION OF INSURANCE, SECURITIES, BANKING
& REAL ESTATE
DEPARTMENT OF REVENUE AND TAXATION
P.O. Box 23607

Renewal Application for Foreign Exchange License
(License Fee \$500.00)

Pursuant to §109107 of the Foreign Exchange Act of Guam. I,
_____, the authorized signer herewith submit
this application for the renewal of _____. Foreign
Exchange License whose address is _____
Originally issued by the Department on _____

And herewith declare under oath that the information set forth in the original
application is in compliance with the Act remains unchanged except the
following matters.

"State present variances from original application"

1. Has there been any changes made on management?
2. Has there been any changes in Agent, which were not registered with the
Commissioner? List name(s) of all registered agents.

3. Were there any persons connected with the business convicted of a
felony, within the past 12 months?
4. Current financial statements must be submitted.
5. Were there any other office open other than the location given in the
original application, for the transaction of foreign exchange?

Territory of Guam)

)

City of Barrigada)

_____, being first duly sworn on oath deposes and says that he/she is the authorized signer named in the foregoing information statement, that he/she has read the statement and all documents attached thereto, that the information contained in the statement and the documents is true to the best of his/her knowledge and belief.

Authorized Signature

Subscribed and sworn to before me this ____ day of _____, 20____.

Notary Public
In and for the Territory of Guam

My Commission Expires

DIVISION OF INSURANCE, SECURITIES, BANKING
& REAL ESTATE
DEPARTMENT OF REVENUE AND TAXATION
P.O. Box 23607
G.M.F, Guam 96921

(Registration Fee \$50.00)
"RENEWAL"

APPLICATION FOR REGISTRATION AS AGENT OF FOREIGN EXCHANGE

The undersigned, an applicant for registration as an Agent of Foreign Exchange submits the following information to the Commissioner of Banking as required by Section 109108 of the Foreign Exchange Act of Guam.

1. Full name of Applicant: _____

9. Place and Date of Birth; Length of residence in the Territory of Guam:

10. Type of Firm: *(Check one only)*
 Corporation Partnership Sole Proprietorship Other

11. If the applicant during the ten years prior to this application has misappropriated or converted monies of others for his own use, or has been accused of so doing, attach a complete statement of facts in respect thereto.

12. Name and business address of license appointing applicant: _____

13. If applicant has been convicted of a violation of a criminal statute, attach a complete statement of facts in respect thereto.

14. If applicant has been denied registration as an agent for foreign exchange, or has had registration as such suspended or revoked prior to this application by any state or territorial government, attach a complete statement of facts in respect thereto.

15. Name and address of three references as to the character and reputation of the applicant, excluding relatives:

DIVISION OF INSURANCE, SECURITIES, BANKING
& REAL ESTATE
DEPARTMENT OF REVENUE AND TAXATION
P.O. Box 23607
G.M.F, Guam 96921

Appointment of Agent of Foreign Exchange

I have read the information statement and believe the information contained therein to be true and complete and have no knowledge to the contrary. I hereby appoint _____ of _____

As agent of Foreign Exchange and agree to notify the Banking Commissioner, Division of Insurance, Securities, Banking & Real Estate, Department of Revenue and Taxation, Barrigada, Guam, immediately upon the termination of his employment.

LICENSEE

BY: _____
AUTHORIZED SIGNATURE

BANKING COMMISSIONER OF GUAM

DATE: _____

___ *Approved* ___ *Disapproved*