LOURDES A. LEON GUERRERO, Governor Maga'håga JOSHUA F. TENORIO, Lt. Governor Sigundo Maga'låhi

> DAFNE MANSAPIT-SHIMIZU, Director Direktot MARIE P. LIZAMA, Deputy Director Sigundo Direktot

Revised: 2021.10.27

**GOVERNMENT OF GUAM** 

**Gubetnamenton Guåhan** 

# **Compliance Branch (ABC)**

# Alcoholic Beverage License (ABL) Processing Procedures

#### I. Requirements:

- 1. Applicants(s) must first obtain a validated Business License. (Retail)
- 2. Applicant(s) applying for a Temporary Alcoholic Beverage License (TABL) need not obtain a Business License. However they must submit TABL Application within 30 days prior to the event with clearances from Business Privilege Tax (BPT), Income Tax and Collection Branch.

II. Document	ts Required:
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. DO	cu	ments Required:
	1.	Validated Business License. (Retail)
$\overline{\Box}$	2.	Alcoholic Beverage License Application. (Call 671-635-1806 for submission deadline.)
	3.	Article of Corporation, By-laws and Minutes. (If Corporation, LLP, LLC)
	4.	Partnership Agreement (If Partnership)
	5.	Personal History Form for each member of the Corporation, LLP, LLC, Partnership or Sole Proprietor.
	6.	Police Clearance must obtain for each member of the Corporation, LLP, LLC, Partnership or Sole Proprietor.
	7.	Copy of identification must be submit for Corporation, LLP, LLC, Partnership or Sole Proprietor. (Valid Driver's License, Identification Card or Passport)
	8.	Copy of Lease Agreement (Must be notarized, if leased) / Titled or Deed (If owned)
		<b>NOTE:</b> a) All Corporate Members, LLP, LLC, Partners or Sole Proprietor's must be twenty-one years of are. (Section11GCA §3303)

- b) No Corporate Members, LLP, LLC, Partners of Sole Proprietor shall have a charge or court case pending when applying.
- c) Must provide our office a Xerox copies on the above documents required on numbers 1, 3, 4, 7 & 8 or you will be charged a fee of one (1) dollar per copy.

#### III. Payment:

- 1. Payment must be made prior to processing the application. (Checks must be made payable to the Treasurer of Guam)
- 2. Depending on the Class of Alcoholic Beverage License the applicant is applying for and guarter of the year the amount may be prorated. (Refer to page 1 of the ABL Application)

(See Back Side)

#### IV. Clearance: (Upon payment of the application)

- 1. Every applicant must have clearance documents acknowledge from the following (11GCA §3314).
  - a) Department of Land Management (Located at the ITC Building 3rd floor, Tamuning).
  - b) Department of Public Health & Social Services (Located at Permit Center, DPW, Tamuning).
  - c) Mayor's Office (Must be actual location where establishment is located).
  - d) Publication Notice (General Circulation) must advertise for three (3) consecutive days.
     On/after the third day of publication the applicant must submit the Certification of Publication (11GCA §3315)

**NOTE:** Prepare the NOTICE to the Attorney General.

#### V. Transfers:

- Person(s) / (Death of Spouse) \$25.00 fee. Must comply with the above requirements (I to IV) (11GCA §3310(1).
- 2. Present location to another \$25.00 fee plus in addition to prorated charge. Must comply with the above requirements (I to IV) (11GCA §3310 (b))

#### VI. Alcoholic Beverage Control Board (ABC) Meetings:

The Alcoholic Beverage Control Board conducts a meeting every second (2nd) and fourth (4th) Wednesday of each month. Meeting dates may change if it falls on a holiday or change by the Board.

### VII. Issuance of Approval/Signed Alcoholic Beverage License:

- 1. All required documents are submitted including the Sanitary Permit.
- 2. To any member of the Corporation, LLP, LLC, Partnership or Sole Proprietor
- 3. When the member of the Corporation, LLP, LLC Partnership or Sole Proprietor is briefed of the Alcoholic Beverage Control Act Rules and Regulations, has signed the Application Check List and ABC License log book, only then will applicant be issued the following documnets: Alcoholic Beverage Control Act Rules and Regulations booklet, List of the Inspectors, Duty Roster/Daily Schedule, Change of Status, Notice of Temporary Absence and Notice to Customers. (Documents may vary due to license Class & Type)

#### VIII. Other Information:

- For information on Alcoholic Beverage License, Amusement Devices, Recreational Facilities, Shooting Galleries, Golf Courses & Driving Range, Bowling Alleys, likewise Miniature Golf Course & Dance Halls, please contact the Compliance Branch at 635-1802/06 (Located at 1240 16, Barrigada, 96913, Guam ).
- 2. For information on establishing a Corporation, LLP, LLC, Partnership, Sole Proprietor or applying for Business License, please contact the General Licensing and Registration Branch at 635-1721/1828/1829 or the Business License and Permit Center (Open: Monday Friday, 8:00am-12:00pm and 1:00pm-5:00pm) at 646-3102.
- 3. For information on Gross Receipt Tax, please contact the Business Privilege Tax Branch at 635-1835/36.

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# REVENUE AND TAXATION

**GOVERNMENT OF GUAM** 

**Gubetnamenton Guåhan** 

# APPLICATION FOR ALCOHOLIC BEVERAGE CONTROL (ABC) LICENSE

Revised: 2021.10.27

This application cannot be accepted, unless it is filed by the present licensee, (THE REAL PARTY IN INTEREST) at the same location for which the license is presently in effect.

CONTINUATION: as used herein means the continuation of the present license of the same class, to continue operation at the same location for which the license is presently in effect.

EXPIRATION: If the license fee is not paid on or before the 30th day of June of each year, the license is automatically suspended, however it may be reinstated by the ABC Board within thirty-one (31) days after June 30 upon payment of licensing fee. Unless the license is reinstated, it is automatically revoked thirty-one (31) days after June 30th and the ABC Board shall not issue a license except upon original application (11GCA 3209. Same Expiration Date (b)(c)).

# ARC LICENSING FEES

	ABC LICENSING FEES						
	۸n	plying For Class	Standard Fee	Prorate	ed Fees		
	Aþ	plying For Glass	July to Sept	Oct to Dec	Jan to Jun		
	1. Manufacturer's  2. Agent's  3. Wholesaler		\$100.00	\$75.00	\$50.00		
			\$250.00	\$187.50	\$125.00		
			\$1,000.00	\$750.00	\$500.00		
	4.	Retail "ON" Sale					
		Beer	\$300.00	\$225.00	\$150.00		
		Wine	\$300.00	\$225.00	\$150.00		
		General	\$1,000.00	\$750.00	\$500.00		
	5.	Retail "OFF" Sale					
		Beer	\$200.00	\$150.00	\$100.00		
		Wine	\$400.00	\$300.00	\$200.00		
		General	\$1,000.00	\$750.00	\$500.00		
	6.	Special Alcohol Spirits	\$25.00	\$18.75	\$12.50		
	7.	Temporary Beer	\$100.00	\$100.00	\$100.00		
	8.	Public Warehouseman	\$50.00	\$37.50	\$25.00		
	9.	Club License	\$500.00	\$375.00	\$250.00		
	10.	Temporary General	\$100.00	\$100.00	\$100.00		
	11.	Microbrewery-Pub Rest	\$1,000.00	\$750.00	\$500.00		
	12.	Mobile License (General C	n Sale License)				
		Limousine (per vehicle)	\$750.00	\$562.50	\$375.00		
		Charter Van (10 passenger)	\$500.00	\$375.00	\$250.00		
		Charter Van (more than 10)	\$750.00	\$562.50	\$375.00		
		Buses	\$1,000.00	\$750.00	\$500.00		
	13.	Alcohol Seller/Server Training I	Program	\$200.00			

The total fee in CERTIFIED OR CASHIERS' CHECK must accompany all applications. Make check payable to the TREASURER OF GUAM.

DO NOT COMPLETE THIS PORTION - FOR ABC OFFICE USE ONLY						
Date Received:		Receipt No.:				
Bank Check No.:		Amount Received:				
General Fund A						

1.	Name of Applicant:
2.	Business Organization:
	☐ Individual ☐ Partnership ☐ Corporation ☐ Club ☐ Association
	Other:
3.	Doing Business as (DBA) or Trade Name:
4.	Contact Information:
	Home Phone No.:
	Mobile Phone No.:
	Email Address:
	Residential Mailing Address of Applicant:
	Business Phone No.:
	Business Email Address:
	Business Mailing Address of Applicant:
5.	Please indicate the location of the Business:
	a) Lot No.: Block No.:
	Name of Municipality:
	Description of the building on the above lot where the alcoholic beverage sales are to be made.
	b) Vicinity Map: Complete Attachment "B c) Floor Map: Complete Attachment "C
6.	Is the within named applicant the Real Party in interest?  Yes  No
7.	Are all Business Licenses on the premises in the name of the Real Party in interest.
	Yes No If No, indicate the name of the person(s) that is (are) the REAL PARTY in interest below.
8.	What type of Business do you operate at this location?
9.	Who owns the Real Property that your Business is situated on?
0.	Who owns the personal property (Business Assets)?

assis				Real Party he license f		curred any financial liabi	ilities or monetary
	Yes		No	If "Yes", indic incurred.	ate the name of th	ne party the applicant in indebte	d to and how the liability was
Did y	ou su	spend	d the c	perations o	of the Busines	s at anytime the past yea	ars?
	Yes		No	If "Yes", please	e explain/ Use suppl	emental sheets for additional respo	onse if necessary.
trust		ckho		•	•	or to any employee, partr y or indirectly interested	• •
	Yes		No	If "Yes", please	e explain. Use suppl	emental sheets for additional respo	onse if necessary.
direction direction	ctly or i	indire on of t	ctly ir	nterested in coholic Bev	this BUSINES	ector, trustee, stockholde S ever been arrested, ch ACT or any other prevai	arged or convicted for
	Yes		No	If "Yes", please indicating that	e explain. If addition	al information is to be provided, ple tems provided, please use a supple	
Is thi	Yes is appl	icatio	No n for	If "Yes", please indicating that a response for	e explain. If additionalit is a response for it item number 15.	d for a Club?	Yes No
Is thi	Yes  is appl s, indic	icatio	n for a	If "Yes", please indicating that a response for an ABC Lice of the CLUE	e explain. If additionalit is a response for it item number 15.  ense submitte	d for a Club?	Yes No
Is this If Yes How	Yes  is appl s, indic are the	icatio ate the	n for a	If "Yes", please indicating that a response for an ABC Lice of the CLUE of the CLUB	e explain. If additionalities a response for it item number 15.  ense submitter  Chapter	d for a Club?	Yes No
Is this If Yes How How	is appl s, indic are the	icatio ate the	n for a	If "Yes", please indicating that a response for an ABC Lice of the CLUE of the CLUB ip dues paid	e explain. If additional it is a response for it item number 15.  ense submitter  Chapter selected?	d for a Club?	Yes No ues \$
Is this If Yes How How ATTA	is appl s, indic are the	icatio ate the mem	n for a date bers of bersh	If "Yes", please indicating that a response for an ABC Lice of the CLUB of the CLUB ip dues paid	e explain. If additional it is a response for it is a response for it item number 15.  ense submitte 3 Chapter. selected?	d for a Club?	Yes No ues \$
Is this If Yes How ATTA	is appl s, indic are the ACH A are the	icatio ate the e mem e mem ROST	n for a e date bers debershore ER O	If "Yes", please indicating that a response for an ABC Lice of the CLUB ip dues paid F REGULAF ers selected	e explain. If additional it is a response for it is a response for it item number 15.  ense submitter  Chapter.  Selected?  R BONA FIDE M	d for a Club?  Amount of D  MEMBERS OF THE CLUB	Yes No ues \$
Is this If Yes How ATTA	is appl s, indic are the are the ACH A are the	icatio ate the e mem e mem ROST	n for a e date bers debershore ER O	If "Yes", please indicating that a response for an ABC Lice of the CLUB ip dues paid F REGULAF ers selected	e explain. If additional it is a response for it is a response for it item number 15.  ense submitter  Chapter.  Selected?  R BONA FIDE M	d for a Club?  Amount of D  MEMBERS OF THE CLUB	Yes No ues \$

each including the mana shares held by each.	If applicant is a Corporation, LLC, LLP give the names of corporate officials, titles, and occupations of each including the managers: also supply the names of stockholders and the number of aggregate shares held by each.  CORPORATION OFFICIALS/MANAGER								
Name:	Title:	Occupation:	Signature:						
	nbers of shares on stockholders,	· ·	of each partner						
18. If applicant is a partner, Name:	Interest of B		Signature:						
raine.	interest of D	usiness	Oignature.						
If additional space is needed	l, attach supplemental sheet and	indicte if the response if for 16	6 or 17.						
This affidavit is to to	be signed in the presence and on the date attested	•	on administering the oath nent.						
Submitted for by an Indi	ividual, Partnership, Club or	Association sign the foll	owing oath:						
•	cording to the law, depose and application and that the statem		true owner(s) of the business erein are true and correct.						
	OR								
Submitted for a Corpora	ation, LLC, LLP, Sign the fol	lowing oath:							
	_	<del>-</del>	aw depose and say that I am						
	of the								
(Official Title)	) (0	Corporation Name)	(DBA)						
	uly organized and authorized ness mention in the foregoing ct.								
	Sig	ned 1:							
	Sig	ned 2:							
State of	_								
Country of	SS.								
Subscribed and sworn before m			, 20						
Notar									
			(Notary)						

Note: Evidence of Authority to sign on behalf of the corporation, LLP, LLC, Must be Attached.



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> > Revised: 2021.10.27

**GOVERNMENT OF GUAM** 

Gubetnamenton Guåhan

Attachment "A": Personal History						
Name:						
(La	ast)		(First)		(Middle / Maiden)	
Nicknames/Aliases:	Date of Birth:	Sex:	Marital Statu	ıs: Pla	ace of Birth:	
Phone#:	Guam D.L.#:	SSN:		 PP#:		
Citizenship: U.S	S. Other: (Spe	cify)	Alien Regist	ration No.: _		
How long has applicant re	sided on Guam?	If a naturalize	ed citizen, where did nat	uralization take	e place:	
Naturalization Document N						-
ist all employers for the perployment.			cent/current. If required,	add an additio	on page to complete	
Period	Name of Employer		Employe	er's Address		
1						
5						
Has the applicant ever app	olied for a liquor license	before the ABC E	Board? Yes	☐ No	If, Yes, Give Details	
Has the applicant ever hel	d a liquor license anywh	nere, other than in	Guam? Yes	☐ No	If, Yes, Give Details	
certify that all statements	above made by me and	d on any sheet att	tached hereto are true a	nd correct.		
				(Appli	cant)	=
		POLICE C	LEARANCE			
	applicant have/has had a	an infraction, a let		of Guam Prob	ssuance. Should the Police pation Office is required stat	ing
		NO	TARY			

Notary must be used if applicant cannot physically be present upon submission of the application. (Example: If applicant is off-Island or if the application is being submitted by a processor.)

State of Country of	SS.		
Subscribed and sworn before	me on	of	, 20
Notar			
			(Notary)

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Sigundo Direktot

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GOVERNMENT OF GUAM Gu

Gubetnamenton Guåhan

Please show landmarks, street names, nearby buildings and businesses and any others significant sites that will assist the our branch ir
locating your establishment.

**Attachment "B": Vicinity Map** 

Doing Business As (DBA):		Phone No.:	
Applicant:	-	Location:	
	=		

Doing Business As (DBA):

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Applicant:

## **Attachment "C": Floor Plan**

In lieu of this floor plan, a formal construction floor plan may be submitted provided all applicable equipment, rooms, furnitures, appliances, ect., are shown and labeled. Provide the dimensions of the building where the alcoholic beverage sales will be confined and restricted to upon the issuance of a ABC Board sanctioned license.

It is hereby declared that the above attached illustrations and responses for items are true and correct reflections of the (proposed) alcoholic beverage consumption area, the access entrances are/or exits, and boundaries of this application for the ABC License. This declaration/certification is made by the undersigned applicant under the penalty of perjury. The undersigned applicant furtuer declares that he/she has set his or her hand and executed his/her signature on the within

Compliance Use Only	
Inspected On:	Signature of Applicant
Certified Corrected By:	Date: