

**Checklist  
For  
Application for Surplus-Line Broker License**

NAME: \_\_\_\_\_

LICENSE NO.: \_\_\_\_\_

- APPLICATION FOR SURPLUS-LINE BROKER LICENSE
  - PROOF OF TRUST ACCOUNT
  - POLICE CLEARANCE
  - EXAMINATION
  - 4 YEARS EXPERIENCE (APPLIED ONLY TO NEW APPLICANTS)
  - BONAFIDE PLACE OF BUSINESS
  - AUDITED FINANCIAL STATEMENTS (UNAUDITED FINANCIAL STATEMENTS MUST BE SIGNED AND NOTARIZED)
  - TAX CLEARANCE
  - APPLICATION FEE: \$100.00
  - SURETY BOND: \$2,000.00
  - SURPLUS LINES INSURANCE STATEMENT (NOTARIZED)
  - AFFIDAVIT OF DECLINATION
  - AGREEMENT AND POWER OF ATTORNEY
- OR
- OTHER COURT PROCESS
- OR
- SERVICE OF SUIT CLAUSE
  - 2% ASSESSMENT (COMMERICAL & INDUSTRIAL) LIABILITY PREMIUM
  - 4% PREMIUM TAX (Copy of GRT stamped paid)